

Art Unit: 3693

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below.

Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with C. Agnew on 4/28/2011

31. (Currently Amended) An on-line interactive computer price discovery system comprising:

(A) a database programmed to store over-the-counter securities data, the securities data including a security identity field, a price field, a price source field and a price source date field;

(B) a database programmed to store permissioning data between price users and price sources;

(C) a database programmed to store price source data, said price source data including source identity information, price time information and permitted price user identifiers;

(D) a database programmed to store price user data, said price user data including price user identifier information;

(E) a processor programmed to receive price submission data, said price submission data comprising security data and price source data, said processor programmed to ~~be able to~~ receive price source data of various formats including trade data, inventory data and non-trade research data, and to store said price submission data in said database fields, said price submission data processor programmed to receive both real-time and time-delayed data feeds and batch feeds from external sources and entries from users;

Art Unit: 3693

(F) a processor programmed to receive securities price requests, said price requests comprising securities identifier information, and to retrieve data from said databases; and

(G) a processor programmed to cause display of and update of, in real time and simultaneously~~[[,]]~~ and in response to a user request, the most current price information and time of receipt of the price information~~[[from]]~~ in each of said trade data, inventory data, ~~[[and]]~~ non-trade research, and data price source data formats.

32. (Currently amended) A system according to claim 31, further comprising:
a display screen in communication with the processor programmed to cause display of price information, wherein ~~users may review~~ the price information~~[[data]]~~ obtained from said user request~~[[query]]~~ is viewable on the~~[[on a]]~~ display screen.

33. (Canceled)

34. (Currently amended) A system according to claim 31, wherein the processor programmed to cause display of the most current price information is further programmed to~~the data obtained from said query is updated~~ update the most current price information automatically in real time as data is received from the users and the external sources.

35. (Currently amended) A system according to claim 31, wherein the ~~queried~~ securities ~~market~~ data comprises data selected from the group consisting of available pricing, yield, spread, research, text, date, time, source, bond, amount of bid and offer, yield to worst, and spread to worst.

36. (Currently amended) A system according to claim 31, further comprising a processor programmed to~~wherein a user may forward~~ the securities ~~market~~ data ~~of said query~~ to other users in real-time in response to a second user request.

Art Unit: 3693

37. (Currently amended) A system according to claim 31, wherein one or more of the databases is programmed to receive a user [[may]] query~~said storage~~.

38. (Currently amended) A system according to claim 31, wherein one or more of the databases is programmed to receive a user query on one or more items ~~queried by a user are~~ selected from the group consisting of Issue, Collateral Type, Last Price, Last YTW, Last STW, Last Source, SIC code, SIC Description, Amount Issued (M), Amount Outstanding (M), Announcement Date, First Settlement Date, Months Since Issuance, interest Accrue Date, First Coupon Date, Maturity Date, Months to Maturity, Moody's Rating, S&P Rating, DCR Rating, Fitch, Price at Issuance, Yield at Issuance, Spread at Issuance, Underwriter, Issuer, 144A/Public, Coupon, Coupon Frequency, Coupon Type, Callable, Market Issued, Exchange, Nominal Value, Currency, Tax Status, DAY/Count, Refunding Date, Current Call date, Current Call Price, Next Call Date, Next Call Price, Put Feature, and Cusip.

39. (Currently amended) A system according to claim 31, wherein the processor programmed to display the most current price information is further programmed to~~users may~~ sort the price information~~data obtained from said query~~ in an at least one of a ascending or descending matter.

Claims 40-44. (Canceled)

45. (New) A system according to claim 31, wherein said databases comprise a single central database.

46. (New) A system according to claim 31, wherein said processors comprise at least one processor.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Richard C. Weisberger whose telephone number is 571 272 6753. The examiner can normally be reached on 8:30-5:00.

Art Unit: 3693

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Kramer can be reached on 571 272 6783. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Richard C Weisberger
Primary Examiner
Art Unit 3693

/Richard C Weisberger/
Primary Examiner, Art Unit 3693